



AF 3738

PTO/SB/21 (08-03) (AW 10/2003)

Approved for use through 7/31/2006. OMB 0651-0031

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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/898,936
	Filing Date	July 3, 2001
	First Named Inventor	Paul DiCarlo
	Art Unit	3738
	Examiner Name	Kamrin R. Landrem
Total Number of Pages in This Submission 6	Attorney Docket No.	BSI-479US

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below): Return Receipt Postcard
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Signature		36,201
Date	November 20, 2003	

CERTIFICATE OF TRANSMISSION / MAILING			
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Appln. No.: 09/898,936  
Amendment Dated November 20, 2003  
Reply to Office Action of September 24, 2003  
Expedited Procedure

REQUEST FOR SECOND  
# 9  
BSI-479US  
11/26/03



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln. No.: 09/898,936  
Applicant: Paul DiCarlo  
Filed: July 3, 2001  
Title: IMPLANT HAVING IMPROVED FIXATION TO A BODY LUMEN  
AND METHOD FOR IMPLANTING THE SAME  
TC/A.U.: 3738  
Examiner: Kamrin R. Landrem  
Confirmation No.: 1985  
Docket No.: BSI-479US

**AMENDMENT UNDER 37 C.F.R. § 1.116**

**Expedited Procedure**

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Sir:

Responsive to the Final Office Action dated September 24, 2003, please reconsider the application in view of the following Remarks.

- ☐ **Amendments to the Specification** begin on page                      of this paper.
- ☐ **Amendments to the Claims** are reflected in the listing of claims which begins on page                      of this paper.
- ☐ **Amendments to the Drawings** begin on page                      of this paper and include an attached replacement sheet(s).
- ☐ **Amendments to the Abstract** are on page                      of this paper. A clean version of the Abstract is on page                      of this paper.
- ☒ **Remarks/Arguments** begin on page 2 of this paper.